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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Patrick D. McDaniel, et al.

Serial No.: 10/006,552

Filed: December 4, 2001

For: METHOD AND SYSTEM FOR DETERMINING AND  
ENFORCING SECURITY POLICY IN A COMMUNICATION  
SESSION

Attorney Docket No.: UOM 0244 PUS



Group Art Unit: 2131

Examiner:

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

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David R. Syrowik  
Name of Person Signing

Signature

A copy of each reference listed on the attached Form PTO/SB08A is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

**Patrick D. McDaniel, et al.**

By: 

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Date: February 14, 2002

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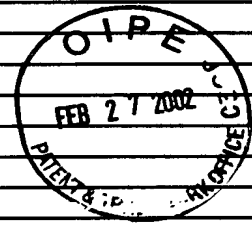
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Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/006,552
				Filing Date	December 4, 2001
				First Named Inventor	Patrick D. McDaniel, et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	UOM 0244 PUS

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,787,428		Hart	07/28/1998	
		5,950,195		Stockwell, et al.	09/07/1999	
		5,968,176		Nessett, et al.	10/19/1999	
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		6,098,173		Elgressy, et al.	08/01/2000	
		6,134,327		Van Oorschot	10/17/2000	
		6,158,007		Moreh, et al.	12/05/2000	
		6,158,010		Moriconi, et al.	12/05/2000	
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		6,192,394		Gutfreund, et al.	02/20/2001	
		6,202,157		Brownlie, et al.	03/13/2001	
		6,215,872		Van Oorschot	04/10/2001	



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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.